# CASINO REINVESTMENT DEVELOPMENT AUTHORITY



# REQUEST FOR PROPOSALS

For:	Atlantic City Public Market
	Atlantic City, New Jersey

Event	Date	Time
Respondent's Questions Due Date	Dec. 20, 2012	2:00 p.m.
Proposal Submission Due Date	Dec. 31, 2012	2:00 p.m.

Dates are subject to change. All changes will be reflected in Addenda to the RFP posted on the CRDA webpage.

# RFP Issued By

Casino Reinvestment Development Authority 15 South Pennsylvania Avenue Atlantic City, New Jersey 08401 Phone 609-347-0500

Date Issued: December 2012

## 1.0 INFORMATION FOR BIDDERS

# 1.1 Background

- a. The Casino Reinvestment Development Authority ("CRDA"), an instrumentality of the State of New Jersey, the only authority of its kind in the United States, was established pursuant to the "Casino Reinvestment Development Authority Act" (Public Law 1984, c. 218, as amended) (hereinafter, the "Act"), and is charged with the responsibility to revitalize and develop urban communities. The Authority provides capital investment funds for economic development and community projects that respond to the changing economic and social needs of Atlantic City and the State of New Jersey. The core of the Casino Reinvestment Development Authority's mission is to encourage business development and permanent job creation, promote opportunities for business expansion, and to facilitate a vibrant economic investment and employment environment for New Jersey. CRDA has invested more than \$2 billion dollars in over 400 projects in Atlantic City and throughout the State of New Over the past 28 years, investments by and through the CRDA in Atlantic City alone have created more than 7,000 hotel rooms and 1500 units of residential housing. CRDA investments have created in excess of 40,000 construction jobs and more than 15,000 permanent positions. Annual investments have exceeded an average of \$71 million per year since 2000. In 2011, the CRDA established the Atlantic City Tourism District pursuant to Public Law 2011, c. 18, (as amended by Public Law 2012, c. 34) and, as a result oversees the management and development of all areas of the City where tourism activities predominate, and oversees a newly formed public-private partnership with the casino industry that is investing \$150 million over the next five years to market and promote Atlantic City as a destination resort. The Act as amended by Public Law 2011, c. 18, and by Public Law 2012, c. 34) is hereinafter referred to as the "CRDA Act").
- b. CRDA is issuing this Request for Proposals (RFP) for the engagement of consulting services to assess the feasibility of a Public Market in Atlantic City. It is anticipated that the consultant team may be multi-disciplined with the prime or lead firm having proficiency with structuring public market projects to meet the needs of the urban environment where they are located. Successful structuring will enable the project to be financially sustainable and enhance the offerings of the urban environment for residents, businesses and tourism (hereinafter referred to as the "Project").
- c. Key components of the Tourism District Master Plan include diversification of the Atlantic City's economic base, expansion of non-gaming attractions, improving programmatic and physical connections between successful attractions and business in Atlantic City, and importantly, enhancement of services to deliver a cleaner and safer Atlantic City for visitors, residents and the workforce. The Public Marketplace Project will be one of the projects needed to address these mandates.

Five locations for the Project have been proposed. Three sites are owned by CRDA, one site is partially owned at approximately 25% of the area, and the fifth site is not owned. These sites are identified below and are located on the attached map:

- Block 336, lots 2 through 22 include 23,500 square feet located mid block fronting on Arctic Avenue and adjacent to the CRDA owned parking garage, The Wave.
- Block 163 is an ideal transitional block across from Boardwalk Hall and bounded by Pacific, Mississippi, Atlantic and Georgia Avenues. However, CRDA's ownership is constrained at 51,803 square feet of irregular space. CRDA is currently in negotiations to purchase 4 additional lots totaling 25,450 square feet and is seeking to acquire an additional 3,045 square feet through further acquisition for a total potential of 80,298 square feet.
- Block 157 is also an ideal transitional block located near the Claridge Resort and bounded by Pacific, Atlantic, Indiana and Ohio Avenues. CRDA does not own any land in the Block but Caesars Entertainment has expressed an interest in partnering with CRDA in the Project. There is a potential for approximately 126,000 square feet (excludes Dunkin Donuts – lot 8, and Human Resource Building – lot 21).
- Block 395 is the smallest of the potential sites at 20,207.6 square feet. It is located adjacent to The Walk and the Atlantic City Convention Center fronting on Baltic Avenue between Michigan and Ohio Avenues and currently is operated as a surface parking lot. The CRDA owns the entire Block. It is a highly desirable location due to its adjacency to the major destinations but provides the least benefit for transitional space as it is removed from the Boardwalk area.
- Block 389 is currently a surface parking lot owned by the CRDA and operated by the SJTA. It includes 166,867.5 square feet or 3.83 acres fronting on Arctic Avenue between Mississippi and Georgia Avenues.

# 1.2 Purpose and Intent

The CRDA is releasing this Request for Proposals ("RFP") for the purpose of engaging a qualified consulting firm (the "Consultant") to evaluate and deliver to the CRDA analysis, findings and recommendations that address the Scope of Services more fully described in Section 2.0 of this RFP.

The intent of this RFP is to award a contract to the respondent whose proposal, conforming to the requirements of this RFP, is most advantageous to the CRDA, price and other factors considered. The CRDA, however, reserves the right to separately procure individual requirements that are the subject of the contract during the term when deemed to be in the CRDA's best interest.

The CRDA reserves the right to reject any and all proposals when it is determined by the CRDA to be in its best interest. The CRDA further reserves the right to waive minor irregularities in proposals submitted in response to this RFP.

### 1.3 Proposal Submission

In order to be considered, a sealed proposal must be delivered to the following:

SUSAN NEY THOMPSON, DEPUTY EXECUTIVE DIRECTOR CASINO REINVESTMENT DEVELOPMENT AUTHORITY 15 SOUTH PENNSYLVANIA AVENUE ATLANTIC CITY, NEW JERSEY 08401

by the date and time located on the cover page. Respondents are cautioned to allow adequate delivery time to ensure timely <u>receipt</u> of proposals. The CRDA shall not be held responsible for the respondent's or any delivery services' failure to deliver in a timely fashion. THE EXTERIOR OF ALL PROPOSALS ARE TO BE LABELED "CRDA- PROPOSAL FOR FEASIBILITY ASSESSMENT FOR THE ATLANTIC CITY PUBLIC MARKET", and contain the proposal opening date and respondent's name and address.

Proposals submitted by facsimile or electronically will not be considered.

### 1.4 Number of Proposal Copies

The respondent must submit **two (2) complete ORIGINAL proposals in a sealed envelope,** clearly marked as the "ORIGINAL" proposal. The respondent should submit **four (4) full, complete, and exact copies** of the original proposal.

# 1.5 Questions and Answers

The CRDA will accept questions and inquiries pertaining to this RFP from all potential respondents electronically. Questions shall be directed to the CRDA staff member identified in Section 1.3 above, at the following email address:

### RFP-QUESTIONS@NJCRDA.COM

The cut-off date for electronic questions will be as indicated on the cover page.

The subject line of all emailed questions should say "Atlantic City Public Market- Proposal Inquiry".

Any requested exceptions to the Professional Services Agreement, appended as Attachment 2, shall be raised by the respondent as a question during the Question and Answers period through the same procedure set forth in this provision of the RFP. Any amendment to the Professional Services Agreement shall be determined by the CRDA, in its sole and absolute discretion, with such determination to be set forth in the Question and Answer addendum issued, if any, after the Question and Answer deadline. The CRDA reserves the right to reject any and all proposed amendments to the Professional Services Agreement. There will be no other amendments to the Professional Services Agreement other than those agreed to by the CRDA through issuance of any Question and Answer addendum.

Respondents are **not** to contact the CRDA directly, in person or by telephone, concerning this solicitation. All questions and answers will be posted on the CRDA website.

### 1.6 Addenda: Revisions to this Request for Proposals

In the event that it becomes necessary to clarify or revise this RFP, such clarification or revision will be by addendum. Any addendum to this RFP will become part of this RFP and part of any contract award as a result of this RFP. All RFP addenda will be posted on the CRDA's website.

It is the sole responsibility of the respondent to be knowledgeable of all addenda related to this RFP. There are no designated dates for release of addenda. Therefore interested respondents should check the CRDA website on a daily basis from the time of RFP issuance through proposal opening.

# 1.7 Respondent Responsibility

The respondent assumes the sole responsibility for the complete effort required in submitting a proposal in response to this RFP. No special consideration will be given after proposals are opened because of a respondent's failure to be knowledgeable as to all of the requirements of this RFP. The CRDA assumes no responsibility and bears no liability for cost incurred by a respondent in the preparation and submittal of a proposal in response to this RFP.

### 1.8 Reserved.

### 1.9 Price Alterations

Prices must be typed or written in blue ink. Any price change (including "white-outs") must be initialed. Failure to initial price changes may preclude a contract award from being made to the respondent.

### 1.10 Proposal Errors

A respondent may withdraw its proposal as follows:

A respondent may request that its proposal be withdrawn prior to proposal opening. Such request must be made, in writing, to the CRDA staff person designated in Section 1.3 herein. If the request is granted, the respondent may submit a revised proposal as long as the proposal is received prior to the announced date and time for proposal opening and at the place specified.

If, after the proposal opening but before contract award, a respondent discovers an error in its proposal, the respondent may make a written request to the CRDA staff person designated in Section 1.3 herein for authorization to withdraw its proposal from consideration for award. Evidence of the respondent's good faith in making this request shall be used in making the determination. Some of the factors that may be considered are that the mistake is so significant that to enforce the contract resulting from the proposal would be unconscionable; that the mistake relates to a material feature of the contract; that the mistake occurred notwithstanding the respondent's exercise of reasonable care; and that the CRDA will not be significantly prejudiced by granting the withdrawal of the proposal.

All proposal withdrawal requests must include the RFP title and the final proposal opening date and be sent to the CRDA staff person designated in Section 1.3 herein at the following address:

Casino Reinvestment Development Authority
15 South Pennsylvania Avenue, Atlantic City, New Jersey 08401
PROPOSAL WITHDRAWAL REQUEST

If during a proposal evaluation process, an obvious pricing error is found, the CRDA shall issue written notice to the respondent. The respondent will have three (3) business days after receipt of the written notice to confirm its pricing. If the respondent fails to respond, its proposal shall be considered withdrawn, and no further consideration shall be given to it.

#### 1.11 Joint Ventures

If a joint venture is submitting a proposal, the agreement between the parties relating to such joint venture should be submitted with the joint venture's proposal. Authorized signatories from each party comprising the joint venture must sign the proposal. A separate Ownership Disclosure Form, Disclosure of Investigations and Actions Involving Bidder, Affirmative Action Employee Information Report, Disclosure of Political Contributions (c.51/EO 117) and Business Registration or Interim Registration must be supplied for each party to a joint venture.

# 1.12 Contents of Proposal - Open Public Records Act

Upon award of contract, all information submitted by respondents in response to the RFP is considered public information, except as may be exempted from public disclosure under OPRA, and the common law.

A respondent may designate specific information as not subject to disclosure when the respondent has a good faith legal or factual basis for such assertion. The CRDA reserves the right to make the determination and will advise the respondent accordingly. The location in the proposal of any such designation should be clearly stated in a cover letter. The CRDA will not honor any attempt by a respondent either to designate its entire proposal as proprietary, confidential and/or to claim copyright protection for its entire proposal.

### 2.0 SCOPE OF SERVICES

This Section describes the work that the CRDA expects the Consultant to deliver under the contract awarded from this RFP.

The consultant will proceed with the scope of services in two phases. Upon completion of the first phase, the consultant will deliver to CRDA a draft Phase I report and meet with CRDA representatives to discuss and provide revisions as needed.

### I. Phase I services: Market Feasibility Analysis

- A. Attend a project kick off meeting with CRDA and tour the proposed market locations.
- B. Satisfactorily answer the questions: "Why would a public market succeed in Atlantic City"? and "What are three distinguishing features of an Atlantic City Public Market that would make it unique and desirable within the region as a whole"?
- C. Identify existing markets in the area by location, size and product types to determine the impact of competing venues and their product lines.
- D. Identify potential synergies with current Atlantic City and New Jersey regional businesses: wineries, dairy, bakeries, etc.
- E. Assess the proposed locations for the Public Market, as identified by CRDA. CRDA is specifically interested in knowing the strengths and weakness of each site as it pertains to Atlantic City tourism, walk-ability of the urban environment and financial sustainability of the Project. Provide recommendations for the Project components and estimates for the square footage needs by components:
  - a. Interior space allowance for product lines by type (coffee & teas, spices, cheeses & yogurts, breads and legumes, vegetables & fruits, wines & beer, etc), jellies & relishes; prepared foods (salads, sandwiches, etc.), table service dining, bar areas; cooking, preparation, storage and delivery areas.
  - b. Exterior space allowance outdoor seating, outdoor kiosks, parking, delivery, trash enclosures.
- F. Develop a 10 year operating pro forma demonstrating the cash flow and debt for the project

Upon acceptance by the CRDA, the consultant will issue a final Phase I report. Upon authorization by the CRDA, the consultant will proceed with the Phase II services for the site selected by CRDA for the Project.

### II. Phase II services: Project Concept Development

A. Provide a conceptual site plan, floor plan and two building renderings

- B. Provide a development budget which identifies the sources and uses of funds for the Project.
- C. Discuss with CRDA and provide recommendations for the ownership/lease structure for the project.
- D. Provide alternatives for the operational structure and recommendations for experienced operators/managers.

The foregoing list of services is not exclusive; the CRDA and the successful respondent may agree in writing to amend or augment the services set forth above.

## 3.0 PROPOSAL PREPARATION AND SUBMISSION

### 3.1 General

The respondent is advised to thoroughly read and follow all instructions contained in this RFP in preparing and submitting its proposal.

### 3.2 Proposal Content

The proposal should be submitted in one volume and that volume divided into four (4) Sections with tabs (separators), and the content of the material located behind each tab, as follows:

- Section 1 Cover Letter (Summarize the key points of the proposal)
- Section 2 Technical Proposal
- Section 3 Organizational Support and Experience
- Section 4 Required Submittals and Compliance Information

### **Section 1** Cover Letter

All respondents should submit a cover letter summarizing its proposal. Please highlight the key points of the proposal. The cover letter should also include references to section(s) of the proposal that the respondent would like to propose confidentiality or copyright designation. (Please See Section 1.12).

### Section 2 Technical Proposal

1. Provide a detailed description of how the Scope of Services defined in Section 2 of this RFP will be addressed by the respondent.

### Section 3 Organizational Support and Experience

- 1. Respondent Profile and Experience
  - (A) State the date the respondent was established. Give a brief description of the firm including organization structure.

- (B) Identify the number of employees of the respondent. The proposal shall include a listing of the total number of employees, and an organization chart.
- (C) A list identifying by name the employees to be assigned to perform on the CRDA contract, accompanied by the employees' qualifications, experience and assigned responsibilities. Identify the person who would have primary responsibility for providing services to the CRDA.
- (D) Identify any state agencies, departments or authorities serviced by the respondent during the last three (3) years. Provide the name and contact information of the state employee responsible for overseeing the work of the respondent under the contract. Describe the nature of the project.
- (E) Describe your organization's presence in New Jersey. Note the location of each office and the number of employees resident in each office.

# 2. Other Qualification Information

- (A) Identify all adverse determinations against the respondent or any of its employees or persons acting on its behalf, with respect to actions, proceedings, claims or complaints of any kind under any local, state or federal laws or regulations.
- (B) Identify any material arrangements, relationships, associations, employment or other contacts that may cause a conflict of interest or the appearance of a conflict of interest if the respondent provides services to the CRDA or any State of New Jersey departments, offices, or divisions or other independent authorities.

# **Section 4** Required Submittals and Compliance Information

- A. The forms listed below must be completed and submitted with the proposal, unless expressly stated otherwise in this RFP.
  - (1) Signatory Page, Attachment 1
  - (2) Professional Services Agreement, Attachment 2
  - (3) Ownership Disclosure, Attachment 3
  - (4) Disclosure of Investigations/Actions against Respondent, Attachment 4
  - (5) Notice of Intent to Subcontract, Attachment 5
  - (6) Subcontractor Utilization Form, Attachment 6
  - (7) Services Source Disclosure Form, Attachment 7
  - (8) Affirmative Action (Respondent must submit an NJ Affirmative Action Certificate, a Federal Affirmative Action Plan Approval Letter or AA302), Attachment 8
  - (9) Political Contributions Disclosure Form & Instructions, Attachment 9
  - (10) Non-Collusion Affidavit, Attachment 10
  - (11) Fee Schedule, Attachment 11

B. The respondent must be properly registered to do business with the State of New Jersey as of the proposal opening date, and <u>must submit</u> a copy of the respondent's NJ Business Registration Certificate with its proposal. If not already registered with the New Jersey Division of Revenue, registration can be completed on line at the Division of Revenue website: <a href="http://www.state.nj.us/treasury/revenue/index.html">http://www.state.nj.us/treasury/revenue/index.html</a>.

### C. Compliance with Executive Order 151, dated August 28, 2009

<u>Small Business Enterprise Requirement</u>: It is the policy of the CRDA and as required by Executive Order 151 ("EO 151") that small businesses (each a "small business enterprise" or "SBE"), as determined and defined by the State of New Jersey, Division of Minority and Women Business Development ("Division") and the New Jersey Department of the Treasury ("Treasury") in N.J.A.C. 17:14 et seq. or other application regulation, should have the opportunity to participate in CRDA contracts.

To the extent the Contractor engages subcontractors or sub-consultants to perform services for the CRDA pursuant to this Contract, the Firm must demonstrate to the CRDA's satisfaction that a good faith effort was made to utilize subcontractors and sub-consultants who are registered with the Division as SBEs. Be advised that the CRDA shall be evaluated quarterly by the Division, based on its attainment of the Participation Goals set forth in the State of New Jersey Construction Services Disparity Study (October, 2005) and the State of New Jersey Disparity Study of Procurement in Professional Services, Other Services, and Goods and Commodities (June, 2005).

Evidence of a "good faith effort" includes, but is not limited to:

- 1. The Firm shall request listings of SBEs from the Division (609) 292-2146 and attempt to contact same.
- 2. The Firm shall keep specific records of its efforts, including records of all requests made to the Division, the names of SBEs contacted, and the means and results of such contacts, including without limitation receipts from certified mail and telephone records.
- 3. The Firm shall actively solicit and shall provide the CRDA with proof of solicitations of SBEs for the provision of services, including advertisements in general circulation media, professional service publications and small business, minority-owned business or women-owned business focus media.
- 4. The Firm shall provide evidence of efforts made to identify categories of services capable of being performed by SBEs.
- 5. The Firm shall provide all potential subcontractors and subconsultants that the Firm has contacted pursuant to 2 or 3 above with detailed information regarding the scope of work of the subject contract.

6. The Firm shall provide evidence of efforts made to use the goods and/or services of available community organizations, consultant groups, and local, State, and federal agencies that provide assistance in the recruitment and placement of SBEs.

Furthermore, the Firm shall submit proof of its subcontractors' and/or subconsultants' SBE registrations, and shall complete such other forms as may be required by the CRDA for State reporting as to participation.

Pursuant to Executive Order 151 the participants' goals for this Contract are African-Americans 2.47%, Asian-Americans 1.47%, Hispanics 1.1%, Native Americans .07% and Caucasian Females 3.74%.

## 3.2.1 Signatory page

The respondent shall complete and submit the signatory page appended hereto as **Attachment 1**, which shall be signed by an authorized representative of the respondent, evidencing the respondent's concurrence with all of the terms and conditions of this RFP. If the respondent is a limited partnership, the signatory page must be signed by a general partner. If the respondent is a joint venture, the signatory page must be signed by a principal of each party to the joint venture. Failure to comply will result in rejection of the proposal.

# 3.2.2 Professional Services Agreement

Respondents shall review and execute Attachment 2. The CRDA reserves all rights to reject any and all proposals based upon exceptions taken to the proposed form of contract. Execution of Attachment 2 is not to be construed as entering into a contract with the CRDA but rather as a submission of an offer to contract with the CRDA. Any requested exceptions to the Professional Services Agreement must be raised by the respondent as a question during the Question and Answers period through the same procedure set forth in Section 1.5 of this RFP.

### 3.2.3 Ownership Disclosure Form

In the event the respondent is a corporation, partnership or sole proprietorship, the respondent must complete the attached Ownership Disclosure Form. A current completed Ownership Disclosure Form must be received prior to, or accompany, the proposal. Failure to do so may preclude the award of a contract. The Ownership Disclosure Form is appended hereto as **Attachment 3**.

# 3.2.4 Disclosure of Investigations/Actions Involving Respondent

The respondent shall provide a detailed description of any investigation, litigation, including administrative complaints or other administrative proceedings, involving any public sector clients during the past five years including the nature and status of the investigation, and, for any litigation, the caption of the action and docket number, a brief description of the action, the date of inception, current status, and, if applicable, disposition. The respondent shall use the Disclosure of Investigations and Actions Involving Respondent form appended hereto as **Attachment 4**.

### 3.2.5 Notice of Intent to Subcontract

The respondent shall complete the attached Notice of Intent to Subcontract Form (**Attachment** 5) to advise the CRDA as to whether or not a subcontractor will be utilized to provide any goods or services under the contract.

### 3.2.6 Subcontractor Utilization Form

If the respondent intends to utilize a subcontractor, the Subcontractor Utilization Form, appended hereto as **Attachment 6**, must be completed and submitted with the proposal.

### 3.2.7 Services Source Disclosure Form

Effective August 3, 2005, all contracts primarily for services awarded by the CRDA shall be performed within the United States, except when the CRDA certifies in writing a finding that a required service cannot be provided by a contractor or subcontractor within the United States and the certification is approved by the State Treasurer. The intended awardee of a contract primarily for services with the State of New Jersey must disclose the location by country where the services under the contract, including subcontracted services, will be performed. If any of the services cannot be performed within the United States, the respondent shall state with specificity the reasons why the services cannot be performed in the United States. Pursuant to N.J.S.A. 52:34-13.2, the respondent is required to submit with its proposal a completed source disclosure form. The Services Source Disclosure Form is appended hereto as **Attachment 7**.

### 3.2.8 Affirmative Action

Each successful respondent shall submit to the CRDA, upon award of contract, one of the following:

- o Appropriate evidence that the contractor is operating under an existing federally approved or sanctioned affirmative action program.
- o A certificate of employee information report approval, issued in accordance with <u>N.J.A.C.</u> 17:27-4
- o An employee information report (Form AA302) (**Attachment 8**).

### 3.2.9 Political Contributions Disclosure

The respondent is required to complete the attached Political Contributions Disclosure form. The requirement is a precondition to entering into a contract with the CRDA. The Political Contributions Disclosure form is appended hereto as **Attachment 9**.

Furthermore, the successful respondent is required to file an annual disclosure statement on political contributions with the New Jersey Election Law Enforcement Commission (ELEC), pursuant to P.L. 2005, c. 271, Section 3 if the successful respondent receives contracts in excess of \$50,000 from a public entity in a calendar year. It is the successful respondent's responsibility to determine if filing is necessary. Failure to so file can result in imposition of financial penalties by ELEC. Additional information about this requirement is available from ELEC at 888-313-3532 or at www.elec.state.nj.us.

### 3.2.10 Non-collusion Affidavit

The respondent shall execute and submit the non-collusion affidavit (Attachment 10).

### 3.2.11 Fee Schedule

The respondent must submit its pricing using the format set forth in the CRDA supplied Fee Schedule (Attachment 11)

# 4.0 PROPOSAL EVALUATION

### 4.1 Proposal Evaluation Committee

Proposals will be evaluated and ranked by an Evaluation Committee composed of at least three (3) representatives of the CRDA. The Evaluation Committee may choose to make use of the expertise of outside consultants in an advisory role.

# 4.2 Oral Presentation and/or Clarification of Proposal

After the submission of proposals, unless requested by the CRDA as noted below, vendor contact with the CRDA is not permitted.

A respondent may be required to give an oral presentation to the Evaluation Committee concerning its proposal. The Evaluation Committee may also require a respondent to submit written responses to questions regarding its proposal. The purpose of such communication with a respondent, either through an oral presentation or by letter, is to provide an opportunity for the respondent to clarify or elaborate on its proposal. No comments regarding other proposals are permitted. Respondents may not attend presentations made by other respondents.

It is within the Evaluation Committee's discretion whether to require a respondent to give an oral presentation or require a respondent to submit written responses to questions regarding its

proposal. Action by the Evaluation Committee in this regard should not be construed as an acceptance or rejection of a proposal.

### 4.3 Evaluation Criteria

All proposals will be reviewed to determine responsiveness. The CRDA may reject non-responsive proposals without evaluation, but may waive minor non-compliance. An Evaluation Committee will evaluate responsive proposals. The following evaluation criteria categories, separate or combined in some manner, and not necessarily listed in order of significance, will be used to evaluate and rank proposals received in response to this RFP:

- Knowledge and experience of the employees dedicated to the CRDA contract;
- Experience of the firm in providing the services described in Section 2 hereof;
- Disposition of any matters disclosed concerning investigations and actions;
- Past experience of the CRDA and/or other authorities/agencies with the organization and/or representatives;
- Exceptions taken to the Professional Services Agreement
- Competitiveness of Fee Schedule.

### 4.4 Negotiation and Best and Final Offer (BAFO)

Following the opening of proposals, the CRDA reserves the right, pursuant to N.J.S.A. 52:34-12(f), to negotiate one or more of the following contractual issues: the technical services offered, the terms and conditions and/or the price of a proposed contract award with any respondent, and/or solicit a Best and Final Offer (BAFO) from one or more respondent(s).

All contacts, records of initial evaluations, any correspondence with respondents related to any request for negotiation or BAFO, any revised technical and/or price proposals, the Evaluation Committee report and the award recommendation, will remain confidential until the evaluation process is completed.

### 5.0 CONTRACT AWARD

The final contract shall consist of this RFP, any addenda issued to this RFP, the respondent's response, and the Professional Services Agreement, Attachment 2, with any amendments agreed upon by the parties. The CRDA reserves all rights to reject any and all responses based upon exceptions taken to the Agreement. Execution of Attachment 2 is not to be construed as entering

into a contract with the CRDA but rather construed as a submission of an offer to contract with the CRDA.

# **Attachment 1**

# CASINO REINVESTMENT DEVELOPMENT AUTHORITY SIGNATORY PAGE

REQUEST FOR PROPOSAL:	Atlantic City Public Market Atlantic City, New Jersey
FOR INFORMATION:	CRDA 15 South Pennsylvania Avenue Atlantic City, New Jersey 08401 609-347-0500
Name, Address, Phone, Facsimile	number, Email and Contact person for respondent:
SIGNATURE OF THE RES	- - - SPONDENT'S AUTHORIZED REPRESENTATIVE
ATTESTS THAT THE RESPONI BE BOUND BY ALL OF THE	DENT HAS READ, UNDERSTANDS AND AGREES TO TERMS AND CONDITIONS SET FORTH IN THE
CONDITIONS OF THE ATTAC	, WITHOUT LIMITATION, THE TERMS AND HED PROFESSIONAL SERVICES AGREEMENT (AS UESTIONS AND ANSWERS PERIOD) AND ANY
	NG BELOW, RESPONDENT AGREES TO HOLD ITS IOD OF NO LESS THAN NINETY (90) DAYS FROM
THE DATE OF PROPOSAL OP	ENING OR TO CONTRACT AWARD, WHICHEVER
	THE RESPONDENT TO HOLD PRICES FIRM OR TO CONDITIONS AS DEFINED IN THE SOLICITATION
	NDENT BEING SUSPENDED OR DEBARRED FROM
CONTRACTING WITH THE CR	RDA.
Name and Title of Person Authorized to sign proposal:	
Signature	- Date

# **Attachment 2**

# **Professional Services Agreement**

INSERT FULL LEGAL NAME AND ADDRESS OF CONTRACTOR:
(referred to hereinafter as the "Consultant")
THIS PROFESSIONAL SERVICES AGREEMENT (the "Agreement") is made as of this day of, 2013 (the "Effective Date"), by and between the CASINO REINVESTMENT DEVELOPMENT AUTHORITY (the "CRDA"), a public body corporate and politic constituting an instrumentality of the State of New Jersey, and the Consultant identified above.
<b>Background</b>
A. In accordance with the CRDA's request for proposals released in December of 2012 (the "RFP") and the Consultant's response thereto dated (the "Response"), and in accordance with CRDA Resolution 13 adopted, the CRDA has selected the Consultant to provide services to the CRDA as described in the RFP (the "Services").
B. The Consultant desires to accept the engagement to provide Services, all as more particularly set forth in this Agreement.
<b>NOW, THEREFORE</b> , in consideration of the foregoing and the mutual covenants and agreements contained herein, the CRDA and the Consultant, intending to be legally bound, hereby agree as follows:
1. Copies of the RFP and the Response are annexed hereto as Exhibits A and B, respectively. By this reference, the RFP and the Response are incorporated in and made a part of this Agreement as if set forth herein in full. In case of a conflict or inconsistency between the provisions of the RFP and the Response, on the one hand, and this Agreement, on the other hand, the provisions of this Agreement shall govern to the extent of such conflict or inconsistency.
2. The Consultant, in consideration of the execution and delivery of this Agreement, agrees to render the Services, as more fully described in Section 2.0 of the RFP.
3. Compensation.
(a) The CRDA will make payment to the Consultant for services rendered at the rates, and under the terms and conditions, if any, set forth in Attachment 11 of the

RFP, entitled, "Fee Schedule".

- (b) The CRDA shall not be obligated or liable under this Agreement to any party, other than the Consultant, for the payment of any monies or the provision of any goods or services. The Consultant shall be obligated to indemnify, defend and hold the CRDA harmless pursuant to Section 14 hereof in the event of any such claim.
- (c) The CRDA shall remit payment to the Consultant within thirty (30) days of the receipt of the Consultant's invoice, provided such invoice accurately and completely represents the work and amounts owing therein and is otherwise presented in accordance with this Agreement, unless the CRDA disputes the invoice.
- 4. The Consultant shall be responsible to comply with and abide by all applicable laws, statutes, regulations, ordinances and other similar requirements pertaining to its performance and obligations under this Agreement. Without limiting the foregoing, the Consultant shall not discriminate in employment and shall abide by all anti-discrimination laws including those contained within N.J.S.A. 10:2-1 through N.J.S.A. 10:5-1 et seq. and N.J.S.A. 10:5-31 through 10:5-38, and all rules and regulations issued thereunder as more fully set forth in Attachment 8 of the RFP.
- 5. The Consultant represents and warrants, on behalf of itself and its employees, consultants, sub-consultants, contractors and subcontractors that:
- (a) the Consultant and any employees, agents or contractors of Consultant rendering services hereunder, have, where applicable, all valid licenses required for the performance of services under this Agreement and will keep such licenses in effect for the duration of this Agreement. Consultant shall provide evidence of such licenses to CRDA upon request, and will notify CRDA within two (2) business days of any notification of suspension or pending revocation of any such license.
- (b) the Services and the Consultant's performance thereof shall comply with any and all applicable laws, ordinances, rules and regulations of any and all governmental agencies, including the CRDA, having jurisdiction to impose such requirements; and
- (c) it and they have the legal authority to enter into this Agreement and to perform the Services.
- (d) (i) execution of this Agreement and performance of the Services will not violate any obligation to or rights of others including but not limited to, intellectual property rights such as patent, trademark, trade secret and copyright, under agreement or otherwise, and (ii) it knows of no written or oral agreement or of any other impediment which would inhibit or prohibit the relationship with the CRDA provided for herein.
- (e) There is no action, suit or proceeding at law or in equity or by an governmental instrumentality or other agency now pending or, to the knowledge of the Consultant, threatened against or affecting the Consultant that, if adversely determined, would materially impair its right to carry on business substantially as now conducted and as contemplated under this Agreement, or to perform its obligations under this Agreement, or

would materially adversely affect its financial condition, except those previously disclosed to the CRDA.

- 6. CRDA and Consultant agree that CRDA may execute contracts with other organizations or individuals for the same services described herein.
- 7. The CRDA represents and warrants that it has the authority to enter into, and will reasonably cooperate with the Consultant in accordance with the terms and conditions of, this Agreement.
- 8. The Consultant shall maintain a thorough and complete record of its performance of the Services hereunder, including, without limitation, hours worked under this Agreement and the reasonable business expenses incurred in connection with the Services (the "Records"). Consultant shall maintain and make available for inspection the Records during the term of the Agreement and for three (3) years from and after the Termination Date. The CRDA or its designee shall have the right, upon reasonable notice, during normal business hours to audit, inspect and copy the Records. For purposes of this Section 8, "Consultant" shall include the Consultant and its sub-consultants, contractors and subcontractors.
- 9. The initial term of this Agreement shall commence on the Effective Date and shall expire upon acceptance of the work by the CRDA, or earlier terminated as provided herein (the "Termination Date"), provided however, that the Agreement shall remain in full force and effect for any Services requested by CRDA prior to and performed by the Consultant after the Termination Date ("Post Termination Services"). CRDA may terminate the Agreement for any reason or no reason upon at least ten (10) days' prior written notice to the Consultant. Consultant shall be paid for work performed and accepted by the CRDA until the close of business on the Termination Date, or, in the case of Post Termination Services, the date of CRDA's acceptance of such services.
- 10. (a) The Consultant represents that it has no existing financial interest and will not acquire any such interest, direct or indirect, which could conflict in any manner or degree with the performance of the Services under this Agreement and that no person having any such interest shall be subcontracted in connection with this Agreement, or employed by the Consultant. The Consultant will also take all necessary steps to avoid the appearance of a conflict of interest and shall have a duty to disclose to the CRDA prior to entering into, and during the term of, this Agreement any and all circumstances existing at such time which pose a potential conflict of interest.
- (b) The Consultant warrants that it has not directly or indirectly offered or given, and will not directly or indirectly offer or give, to any employee, agent, or representative of the CRDA any cash or non-cash gratuity or payment with view toward securing any business from the CRDA or influencing such person with respect to the conditions, or performance of any agreements with or orders from the CRDA, including without limitation this Agreement. Any breach of this warranty shall be a material breach of each and every agreement between the CRDA and the Consultant.

- (c) Should a conflict of interest issue arise, the Consultant agrees to fully cooperate in any inquiry and to provide the CRDA or its designee with all documents or other information reasonably necessary to enable the CRDA or its designee to determine whether or not a conflict of interest existed or exists. Failure to comply with the provisions of this Section shall constitute grounds for immediate termination of this Agreement, in addition to whatever other remedies the CRDA may have.
- 11. The Consultant shall procure, and require its contractors and consultants to procure, prior to the commencement of services, and maintain, at its own expense, until final acceptance by the CRDA of all services required under this Agreement, insurance for liability for damages imposed by law and assumed under this Agreement, of the kinds and in the amounts hereinafter provided, with insurance companies authorized to do business in the State of New Jersey. The insurance carriers shall have a Best's rating of "A" or better and a Best's financial size of "VII" or larger. All of the policies of insurance required to be purchased and maintained and the certificates, declaration pages, or other evidence thereof shall contain a provision or endorsement that the coverage afforded is not to be cancelled, materially changed or non-renewed without at least 45 days prior written notice to the CRDA by certified mail. The Casino Reinvestment Development Authority shall be named as an "Additional Insured" on those policies required under subsections (a) and (b).
- (a) Commercial General Liability Insurance. The minimum limit of liability shall be \$1,000,000 per occurrence (combined single limit for bodily injury and property damage) / \$2,000,000 aggregate, including products/completed operations and contractual liability insurance, which insurance shall include coverage for the liability assumed under Section 2.0 of this RFP in an amount not less than \$1,000,000. The coverage to be provided under this policy shall be at least as broad as that provided by the standard, basic, un-amended and unendorsed comprehensive general liability coverage forms currently in use in the State of New Jersey, which shall not be circumscribed by any endorsement limiting the breadth of coverage.
- (b) Comprehensive Automobile Liability Insurance. The policy shall cover owned, non-owned, hired, leased and rented vehicles with minimum limits of liability in the amount of \$1,000,000 per accident as a combined single limit for bodily injury and property damage. The coverage provided shall include automobile contractual liability covering liability assumed under this Agreement.
- (c) Workers Compensation and Employer's Liability Insurance. Worker's Compensation and Employer's Liability insurance shall be provided in accordance with the requirements of the laws of the State of New Jersey.
- (d) Professional Liability (Errors & Omissions). Written on a "claims made" basis, with not less than \$2,000,000 for the Consultant and not less than \$2,000,000 for any licensed professional retained by the Consultant against any and all liabilities arising out of or in connection with the negligent acts, errors or omissions of the Consultant, its licensed professionals, subconsultants, contractors or subcontractors.

The Consultant shall furnish to the Authority, within ten (10) days of the effective date of this Agreement, Certificates of Insurance, together with declaration pages, in a form satisfactory to the CRDA, evidencing that it has complied with this Section 11. The required Certificates of Insurance shall be filed with the CRDA and same will be made part of this Agreement. No work shall commence until the insurance requirements and certificates are provided to CRDA. Upon request, the Consultant shall furnish the CRDA with a certified copy of each policy itself, including the provisions establishing premiums.

- 12. Consultant shall not cause to be published or disseminated any advertising materials, either printed or electronically transmitted, which identify CRDA with respect to this Agreement or any Parties' duties or benefits hereunder without prior written consent of the CRDA, which may be withheld in its sole discretion.
- 13. By signing this Agreement, the Consultant certifies that the Consultant and any of its principals (a) are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of Agreements by any public agency, and (b) have not, within a five-year period preceding this Agreement, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a Federal, state or local government agreement or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and are not presently indicted for, or otherwise criminally or civilly charged by a government entity with, commission of any of these offenses.
- 14. The Consultant will indemnify, defend and hold the CRDA, and its officers, employees and members (collectively, the "Indemnitee") harmless from and against any and all allegations, causes of action and claims (whether threatened or pending), costs, expenses and fees (including reasonable attorneys' fees), awards, damages, judgments, liabilities (in law or in equity) and losses (collectively, the "Losses") to the extent caused by the Consultant 's act, failure to act, or omission in its performance of the Services hereunder. The obligation to defend shall arise unless Indemnitee caused or contributed to the Losses. Neither party shall be liable to the other for consequential, indirect, special or exemplary damages.
- 15. Consultant agrees that it will not, without prior written consent of the CRDA, disclose any confidential business or financial information of the CRDA. Consultant hereby acknowledges that they have adopted policies for the protection of confidential information within their respective possession or control.
- 16. Provisions of this Agreement may be waived by the CRDA only by a written statement expressing that it is intended as a waiver of specified provisions of the Agreement. The CRDA's approval, acceptance use or payment for any part of the Consultant's services shall not in any way alter the Consultant's obligations, nor waive any of the CRDA's rights, under this Agreement.

- 17. If any change occurs in the legal entity of the Consultant's organization, the Consultant shall immediately report such change to the CRDA.
- 18. While engaged in performance of this Agreement, the Consultant is an independent contractor and is not an officer, agent, or employee of the CRDA. The Consultant is not entitled to benefits of any kind to which CRDA employees are entitled, including, but not limited to, unemployment compensation, workers' compensation, health insurance and retirement benefits. The Consultant assumes full responsibility for the acts and/or omissions of the Consultant's employees or agents as they relate to performance of this Agreement. The Consultant assumes full responsibility for workers' compensation insurance and payment of all federal, state and local taxes or contributions, including, but not limited to, unemployment insurance, social security, Medicare and income taxes with respect to the Consultant and the Consultant's employees.

# 19. Rights in Work Product

- (a) CRDA shall own all right, title, and interest in and to any Work Product (as hereinafter defined) produced by Consultant or its contractors, consultants, subcontractors and subconsultants under this Agreement, and Consultant agrees that such Work Product shall be deemed a "work made for hire". Consultant shall execute and deliver such instruments as requested by CRDA to effect CRDA's rights in Work Product. To the extent that CRDA's rights as outlined herein-above are limited in any manner at law or otherwise, Consultant hereby assigns to the CRDA all right, title, and interest, including but not limited to, copyright and all copyright rights, in all Work Product created by Consultant in its performance under this Agreement and/or delivered to the CRDA hereunder and shall execute any documents necessary to effectuate such assignment, and further grants to the CRDA an irrevocable, fully-paid up, royalty-free license to use any Work Product provided to the CRDA. Consultant warrants that it has the lawful right to grant the forgoing license to the CRDA.
- (b) In the event Consultant uses any individual who is not a full-time employee of Consultant or entity to perform any work required of it pursuant to this Agreement, Consultant shall require said individual or entity to sign an agreement containing identical wording as the foregoing Section 19(a) with the exception that word "Consultant" is to be replaced with the individual's or entity's name.
- (c) The term "Work Product" shall mean all written and other tangible expressions, including, but not limited to, documents, reports, surveys, renderings, exhibits, models, prints, and photographs. All Work Product furnished by the Consultant hereunder shall be and shall remain the property of the CRDA.
- (d) In the event of termination by either party for any reason, as provided under this Agreement, the CRDA will have the right to receive, and the Consultant shall promptly provide to the CRDA, all documents, reports, surveys, renderings, exhibits, models, prints, photographs, and other materials prepared by the Consultant for the Services under this Agreement, notwithstanding any dispute regarding the amount to be paid under this Agreement. The foregoing provisions shall survive the term and termination of this Agreement.

- (e) Consultant warrants that it has the lawful right to release Work Product of other clients to the CRDA. In the event that there is any claim by any third-party for wrongful release of Work Product, the Consultant shall defend and save the CRDA, its members, officers, agents and employees harmless from liability of any nature or kind for or on account of the use of the Work Product in the performance of this Agreement.
- 20. Neither the performance of this Agreement, nor any part hereof, may be assigned by the Consultant without the prior written consent of the CRDA. The Consultant shall not subcontract any services hereunder without the prior written approval of the CRDA. All subcontracted services, once approved, shall be billed by the Consultant to the CRDA at direct cost with no additional fees or markup.
- 21. All notices under this Agreement must be in writing and shall be delivered to the Party to which the notice is being served by: (a) certified or registered mail, return receipt requested, or (b) overnight courier service addressed to the Parties at their respective address set forth above.
- 22. The validity, interpretation and performance of this Agreement shall be determined according to the laws of the State of New Jersey. Whenever possible, each provision of this Agreement shall be interpreted in such a manner as to be effective and valid. However, if any provision of this Agreement shall be held to be prohibited by or invalid under any applicable law, such provision shall be ineffective only to the extent of such prohibition or invalidity, without invalidating the remaining provisions of this Agreement.
- 23. Subsequent to the award of this Agreement, the Consultant merges with or is acquired by another firm, the successor entity shall submit the following documents to the CRDA: (a) corporate resolutions of the successor entity ratifying acceptance of the terms and conditions of this Agreements; (b) updated Ownership Disclosure Form for the successor entity; and (c) Political Contributions Disclosure form for the successor entity. The documents must be submitted to the CRDA within thirty (30) days of the completion of the merger or acquisition. Failure to do so may result in termination of this Agreement in accordance with the terms herewith.
- 24. This Agreement, together with the RFP and the Response, constitutes the entire agreement between the parties hereto, and supersedes any prior or contemporaneous written or oral understanding or agreement, and may be amended only by written amendment executed by both parties and approved as required by New Jersey law and CRDA policy. The Consultant shall set forth any and all exceptions to the terms and conditions hereof during the Question and Answer period allowed for by the RFP, which exceptions if accepted, in writing, by the CRDA, will serve to amend the Agreement in accordance herewith. This Agreement shall become effective and legally binding upon the signing of the Agreement by all parties hereto.

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**IN WITNESS WHEREOF**, the parties hereto have caused this Agreement to be executed by their duly authorized signatories as of the day and year first above written.

Witness:	CASINO REINVESTMENT DEVELOPMENT AUTHORITY
Ву:	By:
Name:	Name: John F. Palmieri
Title:	Title: Executive Director
[Consultant: Comple	te and sign below]
Witness:	
	(Name of Consultant)
By:	By:
Name:	Name:
Title:	Title:

# Exhibit "A" (CRDA's RFP)

# Exhibit "B" (Consultant's Response")

# Attachment 3

#### OWNERSHIP DISCLOSURE FORM

		OR PRINT THE COMPLETE CORRECT NAME, ADDRESS & FEDERAL EIN OF THE BIDDER:  Fed ID #		
Str	eet Ac	ddress:		
Cit	y, Stat	te, Zip Code:		
II.		Officers. Please list all officers of the entity and any ownership interest in the entity held by that officer.		
NA	ME	ADDRESS OFFICE(S) HELD OWN	NERSHIP INTI	EREST (%)
III.	ME	OWNERSHIP LIST. For every person or other entity which owns 10% or more of the Bidder name address, office held with the Bidder (if any), and the percent of ownership of the Bidder (all stock cla corporation or partnership, also provide the same information for the holders of 10% or more int partnership. If additional space is necessary, provide that information on an attached sheet. If there more interest in the Bidder, enter "None" below.  ADDRESS OFFICE(S) HELD OWN	sses). If such erest in that	an owner is a corporation or r with 10% or
1.	Withir	NER ISSUES. Complete all questions below.  In the past five years has another company or corporation had a 10% or greater interest in the Bidder	YES	NO
2.	Has a	fied above? (If yes, complete and attach a separate disclosure form reflecting previous ownership interestions person or entity listed in this form or its attachments ever been arrested, charged, indicted or cited in a criminal or disorderly persons matter by the State of New Jersey, any other state or the Government? (If yes, attach a detailed explanation for each instance.)	YES	NO
	declai	iny person or entity listed in this form or its attachments ever been suspended, debarred or otherwise red ineligible by any agency of government from bidding or contracting to provide services, labor, ial or supplies? (If yes, attach a detailed explanation for each instance.)	YES	NO
4.	Are th and/o	nere now any criminal matters or debarment proceedings pending in which the Bidder and/or its officers r managers are involved? (If yes, attach a detailed explanation for each instance.)	YES	NO
	applie revok	iny federal, state or local license, permit or other similar authorization, necessary to perform the work and for herein and held or applied for by any person or entity listed in this form, been suspended or ed, or been the subject of any pending proceedings specifically seeking or litigating the issue of ension or revocation? (If yes to any part of this question, attach a detailed explanation for each instance.)	YES	NO

V. CERTIFICATION. I, being duly sworn upon my oath, hereby represent and state that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I acknowledge that the Casino Reinvestment Development Authority is relying on the information contained herein and thereby acknowledge that I am under a continuing obligation from the date of this certification through the completion of any contracts with the Casino Reinvestment Authority to notify the Casino Reinvestment Development Authority, in writing, of any changes to the answers or information contained herein. I acknowledge that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I recognize that I am subject to criminal prosecution under the law and that it will constitute a material breach of my agreement(s) with the Casino Reinvestment Development Authority, at its option, may declare any contract(s) resulting from this certification void and unenforceable.

I, being duly authorized, certify that the information supplied above, including all attached pages, is complete and correct to the best of m knowledge. I certify that all of the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.		
Signature	Bidder Name	
Print or Type Name	Title with Bidder	
Date		

# Attachment 4 <u>DISCLOSURE OF INVESTIGATIONS AND ACTIONS INVOLVING RESPONDENT</u>

The bidder shall provide a detailed description of any investigation, litigation, including administrative complaints or other administrative proceedings, involving any public sector client during the past five (5) years including the nature and status of the investigation, and, for any litigation, the caption of the action, a brief description of the action, the date of inception, current status, and, if applicable, disposition.

# **Investigation(s)**

Indicate "NONE" if no investigations were undertaken. Attach additional pages if necessary.

Person or Entity	Date of Inception	Brief Description	Disposition/Status (if applicable)	Respondent Contact Name and Telephone for additional information

# **Litigation/Administrative Complaints**

Indicate "NONE" if no Litigation/Administrative Complaints. Attach additional pages if necessary.

Person or Entity	Date of Inception	Caption of the Action	Brief Description of the Action	Current Status or Disposition (if applicable)	Respondent Contact Name and Telephone for additional information

# Attachment 5 CASINO REINVESTMENT DEVELOPMENT AUTHORITY (CRDA)

# NOTICE OF INTENT TO SUBCONTRACT FORM

THIS FORM MUST BE COMPLETED AND INCLUDED AS PART OF EACH RESPONDENT'S PROPOSAL. FAILURE TO SUBMIT THIS FORM MAY BE CAUSE FOR REJECTION OF THE PROPOSAL AS NON-RESPONSIVE.

RFP TITLE:		_
PROPOSAL OPENING DATE:		
RESPONDENT'S NAME AND ADDRESS:		
		_
		<del>-</del> -
INSTRUCTIONS: PLEASE CHECK ONE OF T	ΓΗΕ STATEMENTS BELOW	
If awarded this contract, I will eng goods and/or services.	gage subcontractors to provide ce	ertain
ALL RESPONDENTS THAT INTEND SHALL ALSO SUBMIT A SUBCONT WITH THEIR PROPOSAL.		
If awarded this contract, I do not in provide any goods and/or services.	5 5	О
ALL RESPONDENTS THAT DO NOT SUBCONTRACTORS CERTIFY AS F granted to my firm and if I determine at engage subcontractors to provide certa Subcontractor Utilization Plan to the Cengagement.	FOLLOWS: I hereby certify that any time during the course of ain goods and/or services, I was a service of the course of the cou	f the contract to will submit the
Authorized Signatory for Respondent	Title	Date

# Attachment 6 CASINO REINVESTMENT DEVELOPMENT AUTHORITY (CRDA) SUBCONTRACTOR UTILIZATION FORM

# **INSTRUCTIONS**

Any respondent intending to subcontract any parts of a contract with the CRDA must complete a **Notice of Intent to Subcontract** and a **Subcontractor Utilization Plan**.

Respondents are instructed to list <u>all</u> proposed subcontractors on the Plan. Any respondent intending to subcontract that does not complete a Notice of Intent to Subcontract and a Subcontractor Utilization Plan may be subject to rejection of its proposal as non-responsive.

IF RESPONDENT INTENDS TO UTILIZE SUBCONTRACTORS, FAILURE TO COMPLETE AND SUBMIT THIS FORM WITH PROPOSAL MAY RESULT IN REJECTION OF THE PROPOSAL AS NON-RESPONSIVE. PROPOSAL OPENING DATE: RESPONDENT NAME & ADDRESS: RESPONDENT CONTACT PERSON & PHONE: Instructions: List all businesses to be used as subcontractors. Attach copies for extended lists. Subcontractor's Name, Address, Type(s) of Goods or **Estimated Value of Subcontract(s)** Telephone and Vendor ID Services to be Provided Number I hereby certify that this Subcontractor Utilization Plan is being submitted in good faith. I certify that each subcontractor has been notified that it has been listed on this Plan and that each subcontractor has consented, in writing, to its name being submitted for this contract. Additionally, I certify that I shall notify each subcontractor listed on this Plan, in writing, if the award is granted to my firm, and shall make all documentation available to the CRDA upon request. I further certify that all information contained in this Plan is true and correct and I acknowledge that the CRDA will rely on the truth of the information in awarding the contract. Authorized signatory for Respondent Title Date

# Attachment 7 N.J.S.A. 52:34-13.2 CERTIFICATION SOURCE DISCLOSURE CERTIFICATION FORM

Contractor:	Contra	ct:
I hereby certify and say:		
I have personal knowledge o	f the facts set forth herein and am auth	orized to make this Certification on behalf of the Contractor.
		policitation for the referenced contract issued by the Casino be with the requirements of N.J.S.A. 52:34-13.2.
	the United States, the Contractor shall	Contractor and all Subcontractors. If any of the services a state, with specificity the reasons why the services cannot be
Vendor	Description of Services	Performance Location or Reasons why Subcontractor services cannot be performed in USA
I understand that if, after aw above to be provided within that extraordinary circumsta	ard of a contract to the Contractor, it the United States to sources outside to nees require the shift of services or t	is determined that the Contractor has shifted services declared the United States, prior to written determination of the CRDA that the failure to shift the services would result in economic of contract, which contract will be subject to termination for
I further understand that thi	s Certification is submitted on behalf at the CRDA is relying upon the truth	f of the Contractor in order to induce the CRDA to accept a of the statements contained herein.
	my knowledge and belief, the forego, I am subject to punishment.	ing statements by me are true. I am aware that if any of the
Contractor:		<u> </u>
By:	f Organization or Entity]	Title:
D.:4 N		Deter

# Attachment 8 GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

# MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE N.J.S.A. 10:5-31 et seq., N.J.A.C. 17:27

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national, origin, ancestry, marital status, affectional or sexual orientation or sex. Except with respect to affectional or sexual orientation, the contractor will take affirmative action to ensure that such applicants are recruited and employed, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Such action shall include, but not limited to the following: employment, upgrading, emotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other

forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex.

The contractor or subcontractor, where applicable, will send to each labor union or representative or workers with which it has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to **N.J.S.A. 10:5-31 et seq.** as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to employ minority and women workers consistent with the applicable county employment goals established in accordance with **N.J.A.C. 17:27-5.2**, or a binding determination of the applicable county employment goals determined by the Division, pursuant to **N.J.A.C. 17:27-5.2**.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, labor unions, that it does not discriminate on the basis of age, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personal testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the applicable employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents: Letter of Federal Affirmative Action Plan Approval; Certificate of Employee Information Report; or Employee Information Report Form AA302.

The contractor and its subcontractor shall furnish such reports or other documents to the Division of Contract Compliance & EEO as may be requested by the Division from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Contract Compliance & EEO for conducting a compliance investigation pursuant to Subchapter 10 of the Administrative Code at N.J.A.C.17:27.

PLEASE CI	HECK THE APPROPRIATE BOX:
	I HAVE A CURRENT NJ AFFIRMATIVE ACTION CERTIFICATE (PLEASE ATTACH A COPY TO YOUR PROPOSAL)
	I HAVE A VALID FEDERAL AFFIRMATIVE ACTION PLAN APPROVAL LETTER (PLEASE ATTA CH A COPY TO YOUR PROPOSAL)
	I HAVE COMPLETED THE ENCLOSED FORM AA302 AFFIRMATIVE ACTION EMPLOYEE INFORMATION REPORT

#### Attachment 9

Public Law 2005, Chapter 51 (formerly Executive Order 134) and Executive Order 117 (2008)

#### INFORMATION AND INSTRUCTIONS

For Completing The "Two- Year Vendor Certification and Disclosure of Political Contributions" Forms

### **Background Information**

On September 22, 2004, then-Governor James E. McGreevey issued Executive Order 134, the purpose of which was to insulate the negotiation and award of State contracts from political contributions that posed a risk of improper influence, purchase of access or the appearance thereof. To this end, Executive Order 134 prohibited State departments, agencies and authorities from entering into contracts exceeding \$17,500 with individuals or entities that made certain political contributions. Executive Order 134 was superseded by Public Law 2005, c. 51, signed into law on March 22, 2005 ("Chapter 51").

On September 24, 2008, Governor Jon S. Corzine issued Executive Order No. 117 ("E.O. 117"), which is designed to enhance New Jersey's efforts to protect the integrity of procurement decisions and increase the public's confidence in government. The Executive Order builds upon the provisions of Chapter 51.

#### **Two-Year Certification Process**

Upon approval by the State, the Certification and Disclosure of Political Contributions form (CH51.1R1/21/2009) is valid for a two (2) year period. Thus, if a vendor receives approval on Jan 1, 2009, the certification expiration date would be Dec 31, 2011. Any change in the vendor's ownership status and/or political contributions during the two-year period will require the submission of new Chapter 51/EO117 forms to the State Review Unit. **Please note that it is the vendor's responsibility to file new forms with the State should these changes occur.** 

Prior to the awarding of a contract, the agency should first send an e-mail to CD134@treas.state.nj.us to verify the certification status of the vendor. If the response is that the vendor is NOT within an approved two-year period, then forms must be obtained from the vendor and forwarded for review. If the response is that the vendor is within an approved two-year period, then the response so stating should be placed with the bid/contract documentation for the subject project.

#### **Instructions for Completing the Forms**

NOTE: Please refer to the next Section, "Useful Definitions for Purposes of Ch. 51 and E.O. 117," for guidance when completing the forms.

### **Part 1: VENDOR INFORMATION**

Business Name – Enter the full name of the Vendor, including trade name if applicable.

**Business Type --** Select the vendor's business organization from the list provided.

Address, City, State, Zip and Phone Number -- Enter the vendor's street address, city, state, zip code and telephone number.

**Vendor Email** – Enter the vendor's primary email address.

**Vendor FEIN** – Please enter the vendor's Federal Employment Identification Number.

#### INFORMATION AND INSTRUCTIONS

For Completing The "Two- Year Vendor Certification and Disclosure of Political Contributions" Forms

### Part 2: PUBLIC LAW 2005, Chapter 51 / EXECUTIVE ORDER 117 (2008) DUAL CERTIFICATION

Read the following statements and verify that from the period beginning on or after October 15, 2004, no contributions as set forth at subSections 1(a)-(c) have been made by either the vendor or any individual whose contributions are attributable to the vendor pursuant to Executive Order 117 (2008).

**NOTE:** Contributions made prior to November 15, 2008 are applicable to Chapter 51 only.

### Part 3: DISCLOSURE OF CONTRIBUTIONS MADE

<u>Check the box at top of page 2 if no reportable contributions have been made by the vendor.</u> If the vendor has no contributions to report, this box must be checked.

Name of Recipient Entity – Enter the full name of the recipient entity.

Address of Recipient Entity – Enter the recipient entity's street address.

**Date of Contribution** – Indicate the date of the contribution.

**Amount of Contribution** – Enter the amount of the reportable contribution.

**Type of Contribution** – Select the type of contribution from the list provided.

Contributor Name – Enter the full name of the contributor.

**Relationship of Contributor to the Vendor** -- Indicate relationship of the contributor to the vendor, e.g. officer or partner of the company, spouse of officer or partner, resident child of officer or partner, parent company of the vendor, subsidiary of the vendor, etc.

<u>NOTE</u>: If form is being completed electronically, click "Add a Contribution" to enter additional contributions. Otherwise, please attach additional pages as necessary.

### **Part 4: CERTIFICATION**

Check box A if the person completing the certification and disclosure is doing so on behalf of the vendor and all individuals and/or entities whose contributions are attributable to the vendor.

Check box B if the person completing the certification and disclosure is doing so on behalf of the vendor only.

Check box C if the person completing the certification and disclosure is doing so on behalf of an individual and/or entity whose contributions are attributable to the vendor.

Enter the full name of the person authorized to complete the certification and disclosure, the person's title or position, date and telephone number.

#### INFORMATION AND INSTRUCTIONS

For Completing The "Two- Year Vendor Certification and Disclosure of Political Contributions" Forms

### USEFUL DEFINITIONS FOR THE PURPOSES OF Ch. 51 and E.O. 117

- "Vendor" means the contracting entity.
- "Business Entity" means any natural or legal person, business corporation, professional services corporation, limited liability company, partnership, limited partnership, business trust, association or any other legal commercial entity organized under the laws of New Jersey or any other state or foreign jurisdiction. The definition also includes (i) if a business entity is a for-profit corporation, any officer of the corporation and any other person or business entity that owns or controls 10% or more of the stock of the corporation; (ii) if a business entity is a professional corporation, any shareholder or officer; (iii) if a business entity is a general partnership, limited partnership or limited liability partnership, any partner; (iv) if a business entity is a sole proprietorship, the proprietor; (v) if the business entity is any other form of entity organized under the laws of New Jersey or any other state or foreign jurisdiction, any principal, officer or partner thereof; (vi) any subsidiaries directly or indirectly controlled by the business entity; (vii) any political organization organized under 26 U.S.C.A. § 527 that is directly or indirectly controlled by the business entity, other than a candidate committee, election fund, or political party committee; and (viii) with respect to an individual who is included within the definition of "business entity," that individual's spouse or civil union partner and any child residing with that person.1
- "Officer" means a president, vice-president with senior management responsibility, secretary, treasurer, chief executive officer, or chief financial officer of a corporation or any person routinely performing such functions for a corporation. Please note that officers of non-profit entities are excluded from this definition.
- "Partner" means one of two or more natural persons or other entities, including a corporation, who or which are joint owners of and carry on a business for profit, and which business is organized under the laws of this State or any other state or foreign jurisdiction, as a general partnership, limited partnership, limited liability partnership, limited liability company, limited partnership association, or other such form of business organization.
- "Reportable Contributions" are those contributions, including in-kind contributions, in excess of \$300.00 in the aggregate per election made to or received by a candidate committee, joint candidates committee, or political committee; or per calendar year made to or received by a political party committee, legislative leadership committee, or continuing political committee.
- "In-kind Contribution" means a contribution of goods or services received by a candidate committee, joint candidates committee, political committee, continuing political committee, political party committee, or legislative leadership committee, which contribution is paid for by a person or entity other than the recipient committee, but does not include services provided without compensation by an individual volunteering a part of or all of his or her time on behalf of a candidate or committee.
- "Continuing Political Committee" includes any group of two or more persons acting jointly, or any corporation, partnership, or any other incorporated or unincorporated association, including a political club, political action committee, civic association or other organization, which in any calendar year contributes or expects to contribute at least \$4,300 to aid or promote the candidacy of an individual, or the candidacies of individuals, for elective public office, or the passage or defeat of a public questions, and which may be expected to make contributions toward such aid or promotion or passage or defeat during a subsequent election, provided that the group, corporation, partnership, association or other organization has been determined by the Commission to be a continuing political committee in accordance with N.J.S.A. 19:44A-8(b).

<sup>1</sup> Contributions made by a spouse, civil union partner or resident child to a candidate for whom the contributor is eligible to vote or to a political party committee within whose jurisdiction the contributor resides are permitted.

# INFORMATION AND INSTRUCTIONS For Completing The "Two- Year Vendor Certification and Disclosure of Political Contributions" Forms

- "Candidate Committee" means a committee established by a candidate pursuant to N.J.S.A. 19:44A-9(a), for the purpose of receiving contributions and making expenditures.
- "State Political Party Committee" means a committee organized pursuant to N.J.S.A. 19:5-4.
- "County Political Party Committee" means a committee organized pursuant to N.J.S.A. 19:5-3.
- "Municipal Political Party Committee" means a committee organized pursuant to N.J.S.A. 19:5-2.
- "Legislative Leadership Committee" means a committee established, authorized to be established, or designated by the President of the Senate, the Minority Leader of the Senate, the Speaker of the General Assembly, or the Minority Leader of the General Assembly pursuant to N.J.S.A. 19:44A-10.1 for the purpose of receiving contributions and making expenditures.
- "Political Party Committee" means:
- 1. The State committee of a political party, as organized pursuant to N.J.S.A. 19:5-4;
- 2. Any county committee of a political party, as organized pursuant to N.J.S.A. 19:5-3; or
- 3. Any municipal committee of a political party, as organized pursuant to N.J.S.A. 19:5-2.

### **Agency Submission of Forms**

The agency should submit the completed and signed Two-Year Vendor Certification and Disclosure forms, together with a completed Ownership Disclosure form, either electronically to <a href="cd134@treas.state.nj.us">cd134@treas.state.nj.us</a> or regular mail at Chapter 51 Review Unit, P.O. Box 039, 33 West State Street, 9th Floor, Trenton, NJ 08625. Original forms should remain with the Agency and copies should be sent to the Chapter 51 Review Unit.

### **Questions & Answers**

Questions regarding the interpretation or application of Public Law 2005, Chapter 51 (N.J.S.A. 19:44A-20.13) or Executive Order 117 (2008) may be submitted electronically through the Division of Purchase and Property website at <a href="http://www.state.nj.us/treasury/purchase/execorder134.htm">http://www.state.nj.us/treasury/purchase/execorder134.htm</a>. Responses to previous questions are posted on the website, as well as additional reference materials and forms.

http://www.state.nj.us/treasury/purchase/execorder134.htm#state. NOTE: The Chapter 51 Q&A on the website DOES NOT address the expanded pay-to-play requirements imposed by Executive Order 117. The Chapter 51 Q&A are only applicable to contributions made prior to November 15, 2008. There is a separate, combined Chapter 51/E.O. 117 Q&A Section dealing specifically with issues pertaining to contributions made after November 15, 2008, available at <a href="http://www.state.nj.us/treasury/purchase/execorder134.htm#state">http://www.state.nj.us/treasury/purchase/execorder134.htm#state</a>.

# State of New Jersey Casino Reinvestment Development Authority Two-Year Chapter 51/Executive Order 117 Vendor Certification and Disclosure of Political Contributions

	FO	R AGENCY USE ONLY	
General Information, RFP		Award Amount	
Description of Se	ervices Feasibility Assessn	nent for Atlantic City Public Market	
Agency Contact	Information		
Agency	CRDA (	Contact Person Sharon D. Dickerson	, Esq.
Phone Number	609-347-0500 ext 3223	Email sdickerson@	njcrda.com
Part 1: Vendor Info	ormation		
	ormation		
		(Including trade name if a	applicable)
	Name		Professional Corporation
Gull Legal Business N Business Type (circle)	Name Corporation General Partnership	(Including trade name if a	Professional Corporation hip LLP
Gull Legal Business N  Business Type (circle)  Address 1	Name Corporation General Partnership	(Including trade name if a Limited Partnership LLC Sole Proprietors	Professional Corporation hip LLP

### Part 2: Public Law 2005, Chapter 51/ Executive Order 117 (2008) Certification

I hereby certify as follows:

- 1. On or after October 15, 2004, neither the below-named entity nor any individual whose contributions are attributable to the entity pursuant to Executive Order 117 (2008) has solicited or made any contribution of money, pledge of contribution, including in-kind contributions, company or organization contributions, as set forth below that would bar the award of a contract to the vendor, pursuant to the terms of Executive Order 117 (2008).
  - a) Within the preceding 18 months, the below-named person or organization has not made a contribution to:
    - (i) Any candidate committee and/or election fund of any candidate for or holder of the public office of Governor or *Lieutenant Governor*;
    - (ii) Any State, county, *municipal* political party committee; OR
    - (iii) Any legislative leadership committee.
  - b) **During the term of office of the current Governor(s)**, the below-named person or organization has not made a contribution to
    - (i) Any candidate, committee and/or election fund of the Governor or *Lieutenant Governor*; OR

- (ii) Any State, county or *municipal* political party committee nominating such Governor in the election preceding the commencement of said Governor's term.
- c) Within the 18 months immediately prior to the first day of the term of office of the Governor(s), the belownamed person or organization has not made a contribution to
  - (i) Any candidate, committee and/or election fund of the Governor or *Lieutenant Governor*; OR Any State, county, *municipal* political party committee of the political party nominating the successful gubernatorial candidate(s) in the last gubernatorial election.

**PLEASE NOTE: Prior to November 15, 2008**, the only disqualifying contributions include those made by the vendor or a principal owning or controlling more than 10 percent of the profits or assets of a business entity (or 10 percent of the stock in the case of a business entity that is a corporation for profit) to any candidate committee and/or election fund of the Governor or to any state or county political party within the preceding 18 months, during the term of office of the current Governor or within the 18 months immediately prior to the first day of the term of Office of Governor.

Name of Recipient	Address of Recipient
Date of Contribution	Amount of Contribution
Type of Contribution (i.e cเ	urrency, check, loan, in-kind)
Contributor Name	
	to the Vendor
Relationship of Contributor	

### Part 4: Certification

I have read the instructions accompanying this form prior to completing this certification on behalf of the above-named business entity. I certify that, to the best of my knowledge and belief, the foregoing statements by me are true. I am aware that if any of the statements are willfully false, I am subject to punishment.

I understand that this certification will be in effect for two (2) years from the date of approval, provided the ownership status does not change and/or additional contributions are not made. If there are any changes in the ownership of the entity or additional contributions are made, a new full set of documents are required to be completed and submitted. By submitting this Certification and Disclosure, the person or entity named herein acknowledges this continuing reporting responsibility and certifies that it will adhere to it.

(CHECK ONE	A, B or C)
	m certifying on behalf of the above-named business entity and all individuals and/o ies whose contributions are attributable to the entity pursuant to Executive Order 11'8).
(B)I am	certifying on behalf of the above-named business entity only.
	certifying on behalf of an individual and/or entity whose contributions are attributable to rendor.
Signed Nam	e Print Name
Title/Position	1
Phone Numb	per Date

### **Agency Submission of Forms**

The agency should submit the completed and signed Two-Year Vender Certification and Disclosure forms, together with a complete Ownership Disclosure form, either electronically to <a href="mailto:cd134@treas.state.nj.us">cd134@treas.state.nj.us</a>, or regular mail at Chapter 51 Review Unit, P.O. Box 039, 33 West State Street, 9<sup>th</sup> Floor, Trenton, New Jersey 08625. The agency should save the forms locally and keep the originals forms on file, submit copies to the Chapter 51 Review Unit.

# Attachment 10 NON-COLLUSION AFFIDAVIT FORM

STATE OF NEW JERSEY	)	an a
COUNTY OF	)	: SS:
I,		
of the City of		
in the County of		
and the State of		,
of full age, being duly sworn accord	ing to law	on my oath depose and say that:
I am (Title)		
of the firm of (respondent)		
Proposals for Atlantic City Public M do; that the said respondent has no otherwise taken any action, in rest contained in the said Proposal and Reinvestment Development Author	Market, Atlat, directly traint of finith this Afrity relies equested by	ino Reinvestment Development Authority's December 2012 Request For lantic City, NJ and that I executed the said Proposal with full authority so to or indirectly, entered into any agreement, participated in any collusion, or ree competition, in connection with the said RFP; and that all statements fidavit are true and correct, and made with full knowledge that the Casino upon the truth of the statements contained in the said Proposal, in this by the Casino Reinvestment Development Authority showing evidence of on said RFP.
agreement or understanding for a c	commissio	ncy has been employed or retained to solicit or secure the said RFP upon an n, percentage, brokerage or contingent fee, except bona fide employees or gencies maintained by the respondent.
SWORN and SUBSCRIBED to meday of, 2012.	this	Authorized Signature
NOTARY PUBLIC		
COMMISSION EXPIRES	_	

# **ATTACHMENT 11**

# FEE SCHEDULE

Having	carefully	read	and	examined	the	Request	for	Proposals,	the	undersigned	responden
hereby a	agrees to f	urnish	all o	of the servi	ces s	specified:	in S	ection 2 at t	he fo	ollowing rate:	

nereby agi	rees to furnish an of the services specified in Section 2 at the following rate:
Lu	Imp Sum Amount \$
Additiona	l terms and conditions of compensation:
1.	All fees proposed shall be inclusive of all supervision, overhead and other administrative expenses.
2.	The CRDA will not accept invoices for mileage, travel time and expenses, meals lodging, accommodations, postage or other expenses or overhead unless agreed to in writing prior to the firm incurring such expenses.
3.	To the extent that sub-consultants and/or sub-contractors are engaged by the Consultant, the CRDA shall not pay for any markup in the price for such services provided to the Consultant under its agreement with the CRDA.
	NAME OF RESPONDENT
Dated:	BY: TITLE: